

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application  
of  
Inventor(s)  
for  
Title of invention

the specification of which is being transmitted herewith

OR

In re application of: David Kramer and Brad A. Haskell

Application No.: 09 / 905,422 Group No.: 1732

Filed: 07/13/2001

Examiner:

For: LOW-TEMPERATURE IN-MOLD COATING COMPOSITION

RECEIVED  
OCT 22 2001  
TC 1700

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

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(Information Disclosure Statement [8-1]—page 1 of 4)

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant:

(1) Within three months of the filing date of a national application;

(2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or

(3) Before the mailing date of a first Office action on the merits, whichever event occurs last."

37 C.F.R. § 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) each inventor named in the application;

(2) each attorney or agent who prepares or prosecutes the application; and

(3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).

NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).

### List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections  
and number pages consecutively)

1. ☒ Preliminary Statements
2. ☒ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited  
and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
  - 7A. ☐ EPO Search Report
  - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☐ Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections \_\_\_\_\_, respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

## **Section 1. Preliminary statement**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 10. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

*(check each applicable item)*

- (a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
**SIGNATURE OF INVENTOR**

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

\_\_\_\_\_  
**SIGNATURE OF INVENTOR**

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (c) ☐ the practitioner who signs below on the basis of the information:

*(check each applicable item)*

- ☐ supplied by the inventor(s).  
☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c))  
☐ in the practitioner's file.

  
\_\_\_\_\_  
**SIGNATURE OF PRACTITIONER**

Paul R. Katterle  
\_\_\_\_\_  
*(type or print name of practitioner)*

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Customer No.:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 6606	SERIAL NO. 09/905,422
	David Kramer & Brad A. Haskell	
	FILING 07/13/2001	GROUP 1732

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,584,076	06/08/1971	Chetakian, E.	260	863	
	3,630,960	12/28/1971	Chetakian, E.		426	
	4,076,788	02/28/1978	Ditto, E. D.	264	255	12/02/1976
	4,239,796	12/16/1980	Shanoski, et al.	428	315	06/21/1979
	4,414,173	11/08/1983	Cobbledick, et al.		257	10/25/1982
	4,508,785	04/02/1985	Cobbledick, et al.	428	424.4	03/26/1984
	4,534,888	08/13/1985	Cobbledick, et al.	252	511	07/18/1983
	4,603,181	07/29/1986	Nishino, et al.	525	528	04/03/1985
	4,666,978	05/19/1987	Storey, et al.	525	35	11/27/1985
	4,668,460	05/26/1987	Ongena, R. E.	264	255	04/07/1986
	5,098,950	03/24/1992	Kagaya, et al.	525	28	02/15/1990

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
WO 98/11166	03/19/1998	PCT	CO8L	101/00		

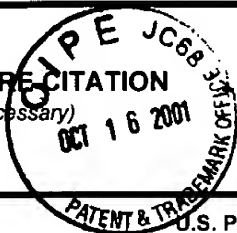
**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

		First Chemical Corporation, Material Safety Data Sheet, Revision B - August 28, 1998, pages 1-5 Landec Corporation, Intelimer Polymer Bound Cobalt Promoters for FRP Applications, (Rev. 4-98), 7 pages
		First Cure, DMPT Accelerator, Received June 17, 1999, 1 page Sartomer Company, CN-961E75 -- Urethane Acrylate Blended With SR-454, 1 page

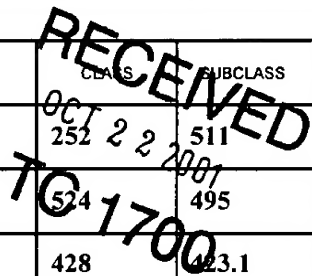
EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. 6606		SERIAL NO. 09/905,422	
				David Kramer & Brad A. Haskell			
				FILING 07/13/2001		GROUP 1732	



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,132,052	07/21/1992	Cobbledick, et al.	252	2 2 511	03/20/1991
		5,614,581	03/25/1997	Cobbledick, et al.	524	495	10/18/1995
		5,658,672	08/19/1997	Lenke, et al.	428	23.1	05/08/1995
		5,777,053	07/07/1998	McBain, et al.	526	301	01/17/1997



FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
			Landec Corporation, Material Safety Data Sheet, Effective Date: 05/28/98, 5 pages First Chemical Corporation, FirstCure Performance Polymer Products, 1 page
			The Norac Company, Inc., Material Safety Data Sheet - Norox P-20, Revised 11/6/98, 3 pages, plus 2 pages of Specifications And Properties information dated 2/90

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